Learning and performance outcomes of mental health staff training in de-escalation techniques for the management of violence and aggression

Owen Price, John Baker, Penny Bee and Karina Lovell

Background
De-escalation techniques are a recommended non-physical intervention for the management of violence and aggression in mental health. Although taught as part of mandatory training for all National Health Service (NHS) mental health staff, there remains a lack of clarity around training effectiveness.

Aims
To conduct a systematic review of the learning, performance and clinical safety outcomes of de-escalation techniques training.

Method
The review process involved a systematic literature search of 20 electronic databases, eligibility screening of results, data extraction, quality appraisal and data synthesis.

Results
A total of 38 relevant studies were identified. The strongest impact of training appears to be on de-escalation-related knowledge, confidence to manage aggression and de-escalation performance (although limited to artificial training scenarios). No strong conclusions could be drawn about the impact of training on assaults, injuries, containment and organisational outcomes owing to the low quality of evidence and conflicting results.

Conclusions
It is assumed that de-escalation techniques training will improve staff’s ability to de-escalate violent and aggressive behaviour and improve safety in practice. There is currently limited evidence that this training has these effects.

Declaration of interest
None.

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MEDLINE, PsycINFO, Cochrane Library (all sources), SSCI + SCIEXPANDED, CINAHL, and metaRegister of Controlled Trials; all from database inception to August 2014. After eligibility screening and obtaining the final sample of included studies, each study’s reference list was screened to identify further studies that had not been identified (Fig. 1).

Selection criteria

Inclusion criteria

Population
(1) Healthcare staff working with adult populations with mental health problems aged 18–65 years.

Intervention
(1) Training with a de-escalation techniques component.
(2) Training aiming to reduce violence and aggression AND/OR improve de-escalation skills through modification of staff attitudes.

Design
(1) Quantitative studies evaluating training effectiveness and/or moderators of effectiveness.
(2) Qualitative studies examining acceptability of training interventions.

Outcomes
(a) Cognitive, affective, skills-based, clinical and organisational outcomes of training (as per Table 1).

Exclusion criteria

Population
(1) Training of non-healthcare staff (police, security staff).
(2) Non-working age patients.
(3) Intellectual disabilities services.

Intervention
(1) Training without de-escalation techniques or attitudinal component.

Design
(1) Non-primary research (reviews, opinion, discussion papers).
(2) Grey literature.

Table 1 De-escalation techniques training – mode of action

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<thead>
<tr>
<th>Training approach</th>
<th>Cognitive outcomes</th>
<th>Affective outcomes</th>
<th>Behaviour change</th>
<th>Clinical outcome</th>
<th>Organisational outcomes</th>
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<tr>
<td>Direct skills teaching</td>
<td>Knowledge of behavioural skills and strategies for emotional regulation</td>
<td>Increased confidence/self-efficacy</td>
<td>Enhanced interpersonal style when managing aggressive behaviour</td>
<td>Reduced assaults</td>
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<td>Emotionally regulated when faced with aggressive behaviour</td>
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<td>Modification of staff attitudes</td>
<td>Accurate understanding of the nature of patients’ problems and the causes of the aggression</td>
<td>Reduced negative emotions (fear/anger/blame)</td>
<td>Reduced behaviours likely to provoke escalations (avoidance/hostility/criticism)</td>
<td>Reduced escalations</td>
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Outcomes
(1) Implementation studies providing no data on effectiveness, moderators or acceptability.
(2) Evaluations aiming to modify staff attitudes to aggression without investigating the resultant impact on de-escalation performance or clinical outcomes.

Eligibility screening
Duplicates were removed and screening was conducted on titles and abstracts and full text according to the eligibility criteria. Selection of full text articles was independently verified by two researchers.

Data extraction
Extracted data were assigned to five categories of outcome: cognitive, affective, skills-based, and clinical and organisational outcomes (Table 1). Moderators were extracted at staff level, patient level, organisational level, environmental level and training level (characteristics of training). Further data extracted included acceptability of interventions, contextual information about the design and delivery of interventions, and design of the studies. Verification of extractions was completed independently by two researchers. The team met and potential errors/disagreements were resolved through third-party consensus (data extraction tables are available on request).

Quality appraisal
The methodological quality of included quantitative studies was appraised using the Quality Assessment Tool for Quantitative Studies. This tool rates quality in six domains: selection bias, study design, confounders, blinding, data collection methods, study withdrawals/dropouts, and demonstrates acceptable construct validity and inter-rater reliability ($k$ = between 0.61 and 0.74). Quality assessment decisions were independently verified by two researchers who met and potential errors/disagreements were discussed and resolved through third-party consensus. Quality of moderator analyses was assessed using four key criteria suitable for use with non-randomised studies. These were: the validity of tools used to detect moderators; the number of potential moderators tested (measuring fewer variables may enhance the reliability of predictor effects); hypothesis of predictor effects determined a priori (i.e. findings are confirmatory rather than exploratory); and analysis involves direct testing of the relationship between the predictor and the independent variable. Quality of qualitative acceptability data was assessed using the consolidated criteria for
reporting qualitative research (COREQ), a checklist for appraisal of qualitative studies across three domains: (1) research team and reflexivity, (2) study design, and (3) data analysis and reporting.17

Data synthesis
Qualitative and quantitative data were analysed separately. An initial scoping review of the available evidence revealed substantial heterogeneity of study designs and, with such small numbers of eligible studies returned for each outcome category, conducting a meaningful meta-analysis was impossible. All quantitative data were tabulated according to key training outcomes (cognitive, affective, skills-based, and clinical and organisational outcomes). Standardised effect sizes (Cohen’s $d$) were calculated for papers reporting data appropriately. Effect sizes were not calculated when no means and s.d.’s were reported or when the product of a statistical test was omitted. There were insufficient qualitative data for formal qualitative data analysis. The few open-ended comments about the training that were identified were organised into themes and agreed by the review team. Quantitative and qualitative data were then synthesised into narratives for each of the relevant outcomes.

Results

Search results
The search identified 38 relevant studies from 10 174 hits (Fig. 1). Twenty-six studies were focused on direct skills-teaching, six studies aimed to influence either de-escalation performance or reduce violence and aggression through modification of staff attitudes, and six used a combination of both approaches (Table DS1, online supplement). There were 23 uncontrolled cohort studies, 12 controlled cohort studies and 3 case control studies (Table DS1). No randomised control trials (RCTs), the gold standard for intervention effectiveness, were identified. Studies were predominantly conducted in the USA ($n = 10$) or the UK ($n = 14$). Samples were biased towards unqualified staff (64% unqualified v. 36% qualified) and student nurse populations (39% of the total trained participants). Seven studies provided a rudimentary qualitative evaluation of training acceptability.18–24

Heterogeneity of intervention intensity and content
Potentially important variations were identified in training intensity and content. Ten studies provided high-intensity training (defined as $>1$ week’s formal training), 11 studies provided medium-intensity training (defined as $>1$ day’s and <1 week’s formal training) and 9 studies provided low-intensity training (defined as <1 day’s formal training) (Table DS1). Level of intensity was unclear due to inadequate reporting in three studies and because of the informal nature of the training in a further five studies (Table DS1). Second, there was variation both in the de-escalation techniques taught and the amount and content of adjunct training delivered. A full description of these differences is provided in Table 3 and online Table DS2.

Quality appraisal
Overall the quality of the studies was moderate to weak. One study was rated as strong, 18 as moderate and 19 as weak (Table 2). Judgement of the representativeness of study samples was, in many cases, problematic. Often, studies failed to report how participants, wards or hospitals were recruited and only 11/37 studies reported response rates. Of those, seven had rates of uptake between 80 and 100%, although none provided data on non-respondents (Table 2). Although a number of the studies either reported potentially confounding differences between intervention and control groups at baseline or between service configuration or delivery models pre-and-post-intervention,18,27,29–34 these were often not adjusted for in the analysis.18,27,29–34 Where wards or units were the units of allocation and/or analyses, insufficient information was provided about the baseline equivalence of these.35,36 A number of uncontrolled studies failed to report on possible population/organisational differences between pre-and-post-intervention periods.22–24,37–40 The eight studies reporting participant withdrawals (Table 2) had a mean retention rate of 72.7% (s.d. = 19.6, range 32–91.1%).

Included studies examining intervention effectiveness were limited by the absence of active controls in all but two studies.31,41 Four studies where de-escalation performance was rated by independent assessors reported good blinding procedures.9,28,29,31 Studies frequently failed to evidence adequate validity and reliability of outcome measures (Table 2). Of nine studies providing evidence of potential moderators of effectiveness,9,20,28,31,32,42–44 only three9,20,28 met at least three of the four key quality criteria for moderator analyses. Of the seven studies providing a qualitative evaluation of the acceptability of training,18–24 none provided sufficient methodological detail to meet any of the COREQ quality standards.

Direct skills-teaching as a predictor of improved de-escalation performance and related outcomes

Cognitive outcomes
Included studies generally supported the capacity of training to enhance de-escalation-related knowledge. Of five studies providing pre/post-training data on this outcome, the four of comparable study quality (moderate) and training intensity (medium)30,31,45,46

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<tr>
<td>38 studies included in the final analysis: Direct skills-teaching: 26 studies. Attitude modification: 6 studies. Combined approach: 6 studies Included acceptability data: 7 studies</td>
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Fig. 1 Search results.
were consistent in finding large (ES = 0.91, 1.13, 1.39) and significant de-escalation-related knowledge gains associated with training. The final study, using a low-intensity intervention, found no effect (ES = 0.14) on knowledge.47

Moderators of cognitive outcomes. There was no evidence of differences in knowledge gains between professional groups pre- and post-training, but a regression analysis found staff occupation, rather than current level of clinical exposure to aggression predicted baseline level of de-escalation knowledge. This suggests that experience and prior training rather than exposure to aggression predicts de-escalation knowledge. As might be expected, staff with no prior training improved the most.

Affective outcomes.

Findings were consistent across study design, quality and training intensity in supporting increased confidence to manage aggression associated with training. Nine of 10 studies reported significantly increased confidence post-training. However, effect sizes were only calculable for four studies, with two negligible effects (ES < 0.2), one medium-sized effect (ES = 0.76) and one large (ES = 1.04). Increases in confidence after training were reported elsewhere but the significance of this finding was not evaluated. Surprisingly, given the evidence of increased confidence, there was no evidence that the training impacted on subjective anxiety regulation in the management of aggression, although one study reported a medium-sized (ES = 0.54) non-significant reduction in feelings of anxiety post-training. There was some, albeit limited, evidence that training may, in the short term, sensitise participants to the risk of assault and increase anxiety.

Moderators of affective outcomes. Three studies revealed potential moderators of affective outcomes. As expected, more experienced staff had the highest levels of confidence to manage aggression. Staff working in areas of greatest acuity appear to benefit most in terms of confidence gains from training, and...
De-escalation techniques training

female participants may rate themselves as more able to regulate anxiety when faced with aggression than female participants.42

Skills-based outcomes

The six studies investigating skill improvements varied in both study quality and training intensity but generally supported the capacity of training to improve de-escalation performance. Four studies reported significant objectively measured post-training improvements9,29,31,46 (effect sizes calculable for only two studies both demonstrating large effect sizes >0.8),29,31 and there also was evidence of self-rated improvements.19 Negative findings included reduction in self-rated de-escalation ability after training43 and objectively measured improvements not reflected in participants’ subjective ratings.29

Moderators of skills-based outcomes. There was evidence that neither confidence28 nor anxiety regulation29,32 may be reliable predictors of de-escalation performance and that the ability to interpersonally relate to aggressive patients has the more pivotal role.28,32 No relationship between age, experience or previous education and de-escalation performance was identified.9 The largest gains in de-escalation performance were found in trainees with the lowest baseline performance.9 Males and trainees with previous violence and aggression training attendance had higher self-rated de-escalation ability.42

Clinical outcomes

Assault rate. Irrespective of study design, quality or training intensity, findings for this outcome were mixed. No clear evidence of the impact of this training on assault rate could therefore be

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a. Specific interpersonal strategies included: problem clarification, positive reinforcement, offering alternatives, shared problem solving, and confirming messages.

derived. Of five studies measuring risk of assault at ward level, three found a significantly reduced risk of assault and two found no significant effect. Three studies measured the risk of assault at the level of individual staff and only one found a significant reduction (ES = 0.77), with two reporting no effect of training.

Incidence of aggression. Eleven studies investigated the impact of training on aggressive incidents more broadly, which included verbal aggression and violence towards objects. Findings were often negative, irrespective of training intensity or study quality, with studies either reporting no effect on incident rate or severity, or increases in aggression post-training (probably because of improved reporting post-training). There was even evidence that de-escalation trained wards increased staff risk of exposure to being involved in an aggressive incident when compared with control and restraint trained wards, but there was a high risk of other programmatic or organisational variables being responsible for this outcome. Again, there was evidence of a significant reduction in incident rates measured at ward level in two studies of moderate quality, one of these demonstrating a medium effect size (ES = 0.64). Significant reductions in severity of incidents were also reported, although the significance of this effect was marginal (P = 0.52) in one of these. Three weak studies reported reductions in incident rate but failed to evaluate the significance of these effects.

Injuries. Results were again mixed, although the stronger two of four studies evaluating this outcome demonstrated positive effects in reducing injuries. There was evidence of a significant and large (ES = 1.13) reduction in wards with high compliance with training compared with low compliance wards and the active control (cardiopulmonary resuscitation training). This reduction was not found at individual staff level where the training was marginally outperformed by the active control in terms of reduced risk of injury (training: ES = 0.3, CPR: ES = 0.37); although a significant reduction at individual staff level was found in another study of similar design and quality. Two weak studies found no effect on injury rates.

Containment. The four studies investigating impact of training on use of physical restraint all demonstrated reductions associated with training. However, interpretation of these findings was limited by poor study quality and, in one instance, a wide confidence interval. A non-significant reduction in the use of rapid tranquillisation and no effect on the supply of extra medication were also reported.

Moderators of clinical outcomes. No moderators of clinical outcomes were identified.

Organisational outcomes

A range of organisational benefits was reported among six studies that provided data on this outcome. These included a highly significant, large (ES = 1.47) reduction in lost workdays and two weak studies also supported this finding but failed to evaluate significance. Further benefits, reported without evaluating significance, included improved staff retention, reduced complaints and reduced overall expenditure. There were negative findings, including a non-significant increase in sick leave and increased patient hospitalisation periods for de-escalation trained wards compared with control and restraint trained wards. However, variation in programmatic or organisational variations between study sites limits the interpretation of these data. No moderators of organisational outcomes were identified.

Attitudinal change as a predictor of improved de-escalation related outcomes

No study of moderate quality or above provided any evidence of attitudinal change impacting de-escalation performance or rates of violence and aggression. Of three studies that used adequately validated scales, one measured attitudes toward patients in general and two measured attitudes toward aggression, via preference for aggression management method (an increase in preferences for non-physical methods indicating a positive result) or via reductions in staff–patient conflict.

None of these studies influenced staff attitudes in the hypothesised direction. Noting this, there were anomalous findings: desirable outcomes (increased confidence to manage aggression) and reduced staff–patient conflict (although with a negligible effect size, ES = 0.13) were achieved independently of attitudinal change. It should be noted that when this study was repeated using a more rigorous, controlled design, no effect on conflict was observed. Evidence to support attitudinal change to patient aggression as a mechanism for improving de-escalation related outcomes was only found in weak studies.

Moderators of attitudinal change

Potential moderators of attitudinal change were evident from three studies. Staff may attribute more blame for aggression to younger than older patients, but attitudes to aggression in younger patients may be more amenable to change through training. Older staff attributed less blame to aggressive patients than did younger participants and nurses with more clinical experience may be more likely to have positive attitudes towards patient aggression. Blame attributions were found to have increased at clinical placement follow-up from post-training scores in two studies, suggesting either a negative interaction between attitudes to aggression and exposure to clinical placement or a reduction in effect over time. No conclusions could be made about the relationship between exposure to previous aggressive incidents and blame attribution.

Acceptability of training interventions

Seven studies provided some rudimentary qualitative evaluation of training interventions but were weak in methodological quality. All studies, except one, in which a large number of participants perceived no impact of the training on their practice, reported positive views of the training. The reasons for the negative finding were not established. Improvements participants felt were important for the training included the following four themes:

Duration and frequency of training

The participants expressed the importance of increasing the frequency of training and regular refresher courses to maintain learning.

Delivery methods

Participants felt that the training should be relevant to the clinical context in which they work and that trainer supervision and feedback on actual clinical interactions would be useful. They wanted a stronger emphasis on role plays and a broad spectrum of case studies, and there was a preference for live demonstrations rather than videotaped scenarios. They also wanted a written manual on de-escalation to keep with them on the wards. The importance of delivering training to all levels of the multidisciplinary
team and training whole wards together to enable team approaches was emphasised.27,29

Intervention content
There was evidence of a perceived need for training content that considered aggression from a range of perspectives, i.e. aggression motivated by both illness and non-illness factors.20

Facilitator attributes
Participants wanted trainers to have practice credibility (i.e. current ward experience) so that training content could be directly linked to situations participants experience on the wards.20

Discussion
This review has synthesised the available evidence pertaining to de-escalation techniques training. There was insufficient evidence to consistently demonstrate cognitive, affective, skills-based improvements and transfer to enhanced job performance either through direct skills-teaching or attitude modification. De-escalation techniques are interventions predicated on a desire to enhance safety through reducing violence and the physical and psychological harms associated with it. It is thus somewhat surprising that only 18 of the 38 included studies measured effectiveness via key safety outcomes such as rates of violence and aggression and/or the use of potentially harmful containment strategies such as physical restraint. Furthermore, a large proportion of the included studies were rated as methodologically weak. Therefore, given the limited quality of the existing evidence, only tentative conclusions can be drawn about the effectiveness of this intervention.

Direct skills-teaching
The strongest impact of training was on de-escalation knowledge and participant confidence to manage aggressive behaviour. There was evidence that confidence alone may not be particularly useful in terms of predicting improvements in actual behaviour when faced with aggression. There may be no relationship between confidence to manage aggression and de-escalation performance,28 possibly because excessive self-confidence may be perceived as threatening to the aggressor and counterproductive to de-escalation efforts.28 It is possible that qualities such as self-awareness and the ability to connect interpersonally with patients may have a more pivotal role in effective de-escalation.26,32 Measurement of these outcomes, rather than confidence, may be more appropriate in future intervention research.

This review found consistent evidence of objectively measured improvements in de-escalation performance post-training. However, these improvements were often measured on un-validated scales and limited to artificial training scenarios. As such, little can be concluded about the effective transfer of these skills to aggressive situations during routine practice. This review identified no evidence that age, occupation, level of experience or gender are reliable predictors of de-escalation performance, either at baseline, endpoint or in terms of extent of improvement as a result of training. Within the limited evidence available, there is therefore nothing to suggest that clinical managers should prioritise certain subgroups of staff over others for de-escalation training.

Few strong conclusions can be drawn about the impact of training on assaults, injuries, containment and organisational outcomes owing to (1) the poor quality of evidence and (2) conflicting results. The most consistent evidence of impact on clinical outcomes was on rates of violence, aggression and injuries at ward rather than individual level. Wards with high compliance with training appear to benefit more from training than those with low compliance.27,30,41 This may be explained through wards adopting whole-team approaches that are more likely to reduce the risk of assault than individual advances in knowledge and skills.41 Clinical managers should not only ensure that sufficient numbers of their staff are trained, but also that as many staff as possible are trained together at the same time, to foster such approaches and facilitate maximal gain.

Attitude modification
There was little evidence to suggest that de-escalation skills may be influenced through modification of staff attitudes. No study using validated measures detected positive attitudinal changes to patients or to aggression. Although one study reported significant reductions in conflict,52 the effect size was small and the effect was not found when the study was repeated under more rigorous conditions.51 Although there was evidence of increased confidence to manage aggression following an intervention aiming to modify attitudes to patient aggression,52 given the lack of evidence of attitudinal change, it is possible that another mechanism was responsible for this positive result.

The negative findings are consistent with the broader literature, which is replete with failed attempts to modify mental health staff attitudes to patient aggression.14,54 This may be explained either through the inability of interventions to impact on attitudes or the inability of the available measures to detect existing attitudinal changes.53 The negative results may further reflect a more pervasive problem with stigmatising attitudes of mental health staff towards patients. While there is evidence of reductions in negative attitudes towards the mentally ill among the UK public as a result of the recent “Time to Change” public health campaign,53 the effects of the same campaign had no impact on the attitudes of mental health professionals.55 More sensitive tools for detecting attitudinal change are needed to support this training mechanism as a means of improving staff de-escalation techniques.

Review limitations
To limit heterogeneity of training interventions included, only studies of healthcare staff working with working age adult (18–65) populations were reviewed. It is probable that, to meet the specific needs of populations outside this group, substantial variation in training exists. This decision was therefore intended to enhance the precision of the review’s findings and conclusions. However, it is accepted that this may have excluded potentially relevant data. It is also possible that the exclusion of grey literature may have excluded potentially relevant data. The inclusion criteria for included interventions were relatively broad and, as such, these included additional components delivered in conjunction with de-escalation content (such as physical restraint training). This was a pragmatic decision based on the observation that this is (1) often how the intervention is delivered in practice56 and (2) these interventions make up a substantial proportion of the evaluation research on this topic. Nevertheless, this may have complicated the isolation of the effect of the de-escalation components of the interventions. However, assessments of the effectiveness of de-escalation components could often be deduced from the nature of the outcome. For example, reductions in aggressive incidents and restraint usage would likely be a consequence of enhanced de-escalation techniques rather than learnt restraint techniques. Extractions and quality appraisal decisions were verified rather than independently conducted.
which may have increased the risk of error/bias at these stages. Finally, due to the nature of existing evidence, the sample of studies was biased towards unqualified and student nurse populations. These factors may have reduced the generalisability of the review findings.

Recommendations
The development of evidence-based interventions followed by feasibility studies measuring both de-escalation performance and transfer to enhanced clinical and organisational outcomes is needed. This may require either new measures of de-escalation performance or further validation of existing measures. The limited acceptability data suggest that trainees are supportive of increased use of role play, case studies and prefer facilitators with relevant practice credibility. However, no empirical evidence of the relative effectiveness of methods of delivery or facilitator attributes was identified. Future work should include qualitative inquiry exploring issues of transfer of training to improved performance and the optimum delivery methods for this form of training. As a minimum, this should be conducted with staff who receive the training and training facilitators. Training staff in non-physical conflict resolution represents a substantial and costly proportion of NHS mandatory training. It is assumed that this training may improve staff’s ability to de-escalate violent and aggressive behaviour. There is currently limited evidence to suggest that this form of training has this desirable effect.

References
How much therapy is too much?

Shabbir Amanullah

Ms D had over 15 years from childhood to the date of presentation been involved in counselling, dynamic, supportive and cognitive–behavioural therapy. She was still “symptomatic” and referred for ongoing depression and what were non-specific anxieties. She was an intelligent, well-composed young woman with an impressive level of insight into what therapy entailed and what ‘their’ goals were. She also understood what her goals were.

What she did not know was whose ‘thoughts’ they were. She struggled with what some of the counsellors said and why therapists ‘contradicted’ one another. She did not know what to think and often visualised the therapists to ‘figure out’ what they would have done. What she did not know was whose ‘thoughts’ they were. She struggled with what some of the counsellors said and why therapists ‘contradicted’ one another. She did not know what to think and often visualised the therapists to ‘figure out’ what they would have advised. She would nod to what was being said and often associated the memory of the person with the nature of the problem and the solution. During our encounters I would ask her what she liked and what she enjoyed when with people.

Ms D is one of many ‘graduates’ that one sees in clinic and it is astounding to see what are possibly dysfunctional schemas acquired in the course of long-term therapy. It raises questions about inter-therapist variations and their impact on young minds; but more importantly, on how this ‘alters’ patterns that may have been transient to a temporary crisis prior to engagement in therapy. Does therapy in early years solve or create dysfunctional schemas?

Are we getting to the point where therapy will be seen as potentially having more profound side-effects than psychopharmacology?